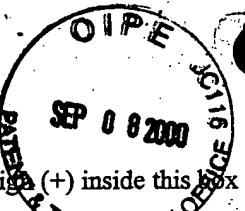


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DECLARATION FOR UTILITY OR DESIGN PATENT APPLICATION (37 CFR 1.63)

Declaration Submitted Declaration Submitted
with Initial Filing after Initial Filing
(surcharge [37 CFR
1.16(e)] required)

Attorney Docket Number: J99.10
First Named Inventor: David W. LaFore

COMPLETE IF KNOWN

Application Number: 09/497,272
Filing Date: 2/3/00
Group Art Unit:
Examiner Name:

As a below named inventor, I hereby declare that:

My residence, post office address and citizenship are as stated below next to my name.

I believe I am the original, first and sole inventor (if only one name is listed below) or an original, first and joint inventor (if plural names are listed below) of the subject matter which is claimed and for which a patent is sought on the invention entitled

"Data Processing System and Method for Managing Broker Transaction Information"

the specification of which

is attached hereto

OR

was filed on (MM/DD/YY) _____ as United States Application Number or PCT International Application Number _____ as was amended on (MM/DD/YY) _____ (if applicable).

I hereby state that I have reviewed and understand the contents of the above identified specification, including the claims, as amended by any amendment referred to above.

I acknowledge the duty to disclose information which is material to patentability as defined in 37 CFR § 1.56.

I hereby claim foreign priority benefits under 35 U.S.C. 119(a)-(d) or 365(b) of any foreign application(s) for patent or Inventor's certificate, or 365(a) of any PCT International application which designated at least one country other than the United States, listed below and have also identified below, by checking the box, any foreign application for patent or Inventor's certificate, or PCT International application having a filing date before that of the application on which priority is claimed.

Prior Foreign Application(s)

			Certified Copy Attached ?
Priority Not Claimed	Yes	No	
<input checked="" type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	(Number) _____ (Country) _____ (Foreign Filing Date) _____
<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	(Number) _____ (Country) _____ (Day/Month/Year Filed) _____

Additional foreign application numbers are listed on a supplemental priority data sheet PTO/SB/02B attached.

I hereby claim the benefit under 35 U.S.C. 119(e) of the United States provisional application(s) listed below.

(Application Number)	(Filing Date)
(Application Number)	(Filing Date)

Additional provisional application numbers are listed on supplemental priority data sheet PTO/SB/02B attached hereto.

I hereby claim the benefit under 35 U.S.C. §120 of any United States application(s), or §365(c) of any PCT International application designating the United States, listed below and, insofar as the subject matter of each of the claims of this application is not disclosed in the prior United States or PCT International application in the manner provided by the first paragraph of 35 U.S.C. 112, I acknowledge the duty to disclose information which is material to patentability as defined in 37 C.F.R. 1.56 which became available between the filing date of the prior application and the national or PCT International filing date of this application.

U.S. Parent Application or PCT Parent Number	Parent Filing Date	Parent Patent Number (if applicable)
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U.S. Parent Application or PCT Parent Number	Parent Filing Date	Parent Patent Number (if applicable)
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Additional U.S. or PCT International application numbers are listed on a supplemental priority data sheet PTO/SB/02B attached.

As named inventor, I hereby appoint the following practitioner(s) to prosecute this application and to transact all business in the Patent and Trademark Office connected therewith: Registered Practitioner(s) name/registration number listed below

Name	Registration Number
Gary D. Fields	19,604
Brent P. Johnson	38,031

Additional registered practitioner(s) named on Supplemental Registered Practitioner Information sheet PTO/SB/02C attached hereto.

Direct all correspondence to: Fields & Johnson, P.C.
8480 East Orchard Road, Suite 5600, Englewood, CO 80111
Country: U.S.A. Telephone: (303) 689-9100 Fax: (303) 689-9250

I hereby declare that all statements made herein of my own knowledge are true and that all statements made on information and belief are believed to be true; and further that these statements were made with the knowledge that willful false statements and the like so made are punishable by fine or imprisonment, or both, under 18 U.S.C. 1001 and that such willful false statements may jeopardize the validity of the application or any patent issued thereon.

Name of Sole or First Inventor

Given Name (first and middle [if any]) David W.

A petition has been filed for this unsigned inventor

Family Name or Surname LaFore

Inventor's signature David LaFore

Date 02/01/2000

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Full name of second joint inventor, if any (given name, family name) Kyle L. Brennan

Second Inventor's signature [Signature] Date 2/01/2000

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Additional inventors are being named on the supplemental Additional Inventor(s) sheet(s) PTO/SB/02A attached hereto.